

Date Raised	Item	Recommendation	Responsible Officer	Status	
23/05/23	<p data-bbox="450 268 801 400">Agenda Item 10: Internal Audit Annual Report 2023/24</p>	<p data-bbox="824 300 1272 568">1. The Strategic Director for Transformation and Corporate, along with the Audit Manager contact the Chief Education Officer to discuss auditors entering schools during industrial disputes.</p> <p data-bbox="824 911 1272 1078">2. The Strategic Director for Social Services be Called in regarding the Unsound Audit Opinion for Children Services Safeguarding Children Money</p> <p data-bbox="824 1214 1272 1318">3. The Monitoring Officer be contacted to see if this should be discussed as Part 2 item.</p>	<p data-bbox="1294 300 1518 499">Strategic Director for Transformation and Corporate / Acting Chief Internal Auditor</p> <p data-bbox="1294 911 1518 1007">Strategic Director for Social Services</p> <p data-bbox="1294 1182 1518 1377">Strategic Director for Transformation and Corporate /Head of Law and Standards</p>	<p data-bbox="1541 300 1839 871">Ongoing resource challenges within the Internal Audit team has required the focus of delivery to be on areas deemed to be High risk. With schools being classed as Medium risk, no work has been performed in 2023/24 to this point. Contact with schools is planned for the coming weeks to seek to commence audit work</p> <p data-bbox="1541 911 1816 1110">2. COMPLETED Strategic Director for Social Services provided update to Committee on 27th July 2023</p> <p data-bbox="1541 1214 1783 1350">3. COMPLETED If considered, this should be Part 2 report.</p>	

		<p>4. Report taken to GAC at a future meeting regarding staffing / auditing resources and capacity in the Audit Team in relation to the regional consortium.</p> <p>5. Consider making changes to Appendix 3 so that areas are grouped as per their status under the risk column</p> <p>6. Consider adding an indicator to service areas that have been assessed by themes in wider audits.</p>	<p>Head of Finance</p> <p>Acting Chief Internal Auditor</p> <p>Acting Chief Internal Auditor</p>	<p>4. In Progress – Update report on Internal Audit team to be considered by Committee in September. Further updates on developments regarding regional consortium to be shared when available.</p> <p>5. COMPLETED this will be actioned for the 23/24 Annual Report. It has also been actioned for Appendix B of the quarterly reports.</p> <p>6. COMPLETED this will be actioned for the 23/24 Annual Report.</p>	
23/07/23	Agenda Item 7: Corporate Risk Register Quarter 4	<p>1. Provide an information only written update on the work being undertaken to manage the impact of the Eliminate Programme.</p>	<p>Strategic Director for Social Services</p>	<p>1. In Progress – written update to be shared with Committee as an information only update</p>	

		<p>2. Clarification to be provided in Quarter 1 and Quarter 2 reports estimated completion dates of 31 March (Q4) on Pages 87, 93 and 9 of the risk mitigation action plan.</p> <p>3. Provide brief demonstration of My Hub and how it was used to manage risks in real-time prior to next meeting.</p>	<p>Performance and Programme Manager</p> <p>Performance and Programme Manager</p>	<p>2. COMPLETED Following Quarter 4 and end of year process, service areas reviewed their service plans and risk mitigation action</p> <p>3. COMPLETED Invitation issued to session on 23rd November</p>	
23/07/23	<p>Agenda Item 8:</p> <p>Audit Wales and Regulatory Body Six-Month Update</p>	<p>1. Make amendments in future reports to make it clearer on whether the recommendations were completed or in progress (e.g. Carbon Reduction 114, Equality Impact Assessment 116, Asset Management 117).</p> <p>2. Anonymised recommendations from the Care Inspectorate for Wales inspections to be shared with the Committee, subject to the approval of the Monitoring Officer.</p>	<p>Performance and Programme Manager</p> <p>Performance and Programme Manager / Head of Law and Standards</p>	<p>In Progress</p> <p>Both actions will be reviewed and considered in preparation of the next 6 monthly update which will be presented in January 2024.</p>	Jan 2024

28/09/23	Agenda Item 4: Corporate Risk Register Quarter 1	Provide brief demonstration on how the Corporate Risk Register is completed.	Transformation and Intelligence Manager	COMPLETED – Invitation issued to session on 23 rd November	
28/09/23	Agenda Item 5: Internal Audit Team Update	Head of Finance to provide a verbal report at the next meeting on the progress made in recruitment to Audit vacancies.	Head of Finance	COMPLETED – agenda item for 26 th October 2023	26 th October 2023
28/09/23	Agenda Item 6: Annual Corporate Self-Assessment Report 2022/23	<ol style="list-style-type: none"> 1. Dr Barry, Co-opted Member to provide an example document ahead of next year's Annual Corporate Self-Assessment reporting cycle for discussion and comments. 2. Consider providing more explanation in the report concerning amber ratings in future assessments. 	<p>Dr Barry, Co-opted Member</p> <p>Strategic Director for Transformation and Corporate / Head of People, Policy and Transformation</p>	<p>2. In Progress Recommendation will be reviewed and considered in preparation of the next Annual Self-Assessment which will be presented in 2024.</p>	
28/09/23	Agenda Item 7: Draft Annual Governance Statement (AGS) 2022/23	Wording in report should be amended to say 're-introduction of ward meetings' rather than 'ward meetings'	Head of Finance	COMPLETED – The AGS was amended	
28/09/23	Agenda Item 8: Annual Report on Compliments, Comments and	Future annual reports to build on the data outlined in the report and		In Progress – this will be considered in	2024

	Complaints Management 2022/23	include demographics of residents providing feedback, and roadmap of planned improvements.	Complaint Resolution Manager	preparation of the next Annual Report which will be presented in 2024.	
28/09/23	Agenda Item 11: Audit Wales Fee Consultation 2024/25	Committee Members to provide feedback on report to Head of Finance.	Committee Members	COMPLETED	6 th October 2023
28/09/23	Agenda Item 12: Forward Work Programme	The alternative format of the work programme to be displayed in landscape orientation and have narrow margins	Democratic and Electoral Services Manager	COMPLETED – format has been revised.	26 th October 2023